, IDS 03/24/20004 =

Attorney's Docket No.: 06975-146001 / Search 02

THE UNITED STATES PATENT AND TRADEMARK OFFICE

Art Unit: 2171

Applicant. The

Serial No.:

Thomas E. Donaldson et al.

09/749,798

Filed

December 28, 2000

Title

FILTERING SEARCH RESULTS

Examiner : Cindy Nguyen

RECEIVED

MAR 2 5 2004

Technology Center 2100

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

## INFORMATION DISCLOSURE STATEMENT

Applicants submit the references listed on the attached form PTO-1449. A copy of a communication from a foreign patent office in a counterpart application is also enclosed.

The following two references were listed in this communication from the foreign patent office, but are not listed in this statement because they were cited in previous disclosure statements filed on June 22, 2001 and January 16, 2004:

a) U.S. Patent No. 6,026,429; and CN

b) T. Negrino, "The MacWorld Web Searcher's Companion," MacWorld, PC World CN Communictions, San Francisco, CA, US, vol. 17, no. 5, May 2000, pp. 76-82 CN (XP008019722). /Cindy Nguyen/

This statement is being filed after a final Office action or a Notice of Allowance, but before payment of the issue fee. Each item of information in this statement was cited in a communication from a foreign patent office in a counterpart foreign application, the communication being dated March 8, 2004, which is not more than three months prior to the filing of this statement. A check for \$180 in payment of the late submission fee of \$1.17(p) is enclosed. Please apply any other charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,

03/25/2004 SDENBOB1 00000023 09749798

01 FC:1806

180\_00 OP

Date: 12/24/2004

Joseph F. Key Reg. No. 44.827

Fish & Richardson P.C. 1425 K Street, N.W.

11th Floor

Washington, DC 20005-3500 Telephone: (202) 783-5070 Facsimile: (202) 783-2331

Ch4	1	- 5	1
Sheet		of	- 1

Substitute	Form	PTO-1449
(Modified)		

U.S. Department of Commerce Patent and Trademark Office

Attorney's Docket No. 06975-146001

Applicant

·Application No. 09/749,798

MAR 2 4 200

## Information DiscI sur Statement by Applicant (Use several sheets if necessary)

Thomas E. Donaldson et al.

Filing Date December 28, 2000

Group Art Unit 2171

(37 CFR §1.98(b))

			U.S. Pate	ent Documents			j
Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date  If Appropriate
CN	AA	5,913,214	06-15-1999	Madnick et al.		REC	FIAFD
CN	AB	5,933,827	08-03-1999	Cole et al.		MAR 2	5 2004
CN	AC	2001/003828	06-14-2001	Peterson et al.		••••	Contor 2100
	AD					Technology	Center 2100

Foreign Patent Documents or Published Foreign Patent Applications					ns			
Examiner	Desig.	Document	Publication	Country or			Transla	ation
Initial	ID	Number	Date	Patent Office	Class	Subclass	Yes	No
CN	AE	EP0918295A	05-26-1999	EPO				
CN	AF	EP0827063A	03-04-1998	ЕРО		-		
CN	AG	EP0945811A	09-29-1999	ЕРО				
CN	AH	EP1045547A	10-18-2000	EPO			4	
CN	ΑI	WO 00/58894	10-05-2000	PCT				
CN	AJ	WO 01/27720	04-19-2001	PCT				
CN	AK	WO 01/16806	03-08-2001	PCT				
CN	AL	WO 99/39286	08-05-1999	РСТ				
CN	AM	WO 00/26762	05-11-2000	PCT				
CN	AN	2000357176	12-26-2000	Japan			ABST	

	Other Documents (include Author, Title, Date, and Place of Publication)						
Examiner	Desig.						
Initial	ID	Document					
CN	AO	"Development and Operation of the Next-Generation Rating/Filtering System on the Internet,"  (XP002219058). Retrieved from the Internet:< <u>URL:http://www.nmda.or.jp/enc/rating2nd-en.html</u> > on 10-30-2002.					
	AP						
	AQ						

Examiner Signature	Date Considered				
/Cindy Nguyen/	07/26/2006				
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.					

71 .	4	r	1
Sheet		of	
311001		O.	

Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 06975-146001	Application No 09/749,79		TPE	
Information Disclosure Statement by Applicant		Applicant Thomas E. Donaldson et al.		JUL	1 5 20	05
(Use several si	eets if necessary)	Filing Date December 28, 2000	Group Art Uni 2171	ALEKY S.	aken c	840

	U.S. Patent Documents						
Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
CN	AA	6,442,549	08/27/2002	Schneider			11/15/1999
	AB						
	AC						
	AD			·			
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						0
	AK						

Foreign Patent Documents or Published Foreign Patent Applications								
Examiner	Desig.	Document	Publication	Country or			Trans	slation
Initial	ID	Number	Date	Patent Office	Class	Subclass	Yes	No
	AL							
	AM							
	AN							
	AO							
	AP							

	Other Documents (include Author, Title, Date, and Place of Publication)			
Examiner Initial	Desig. • ID	Document		
	AQ			
	AR			
	AS			
	ΑТ			

Examiner Signature /Cindy Nguyen/	Date Considered 07/26/2006					
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with						

Substitute Form PTO: 3449

U.S. Department of Commerce department of

Attorney's Docket No. 06975-146001

Application No. 09/749,798

Thomas E. Donaldson et al.

Filing Date

Applicant

Group Art Unit 2171

(37 CFR §1.98(b))

December 28,2000

		····	U.S. Pate	ent Documents			
Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
CN	AĄ	5,826,261	10/20/1998	Spencer			05/10/1996
CN	AB	5,987,446	11/16/1999	Corey et al.			11/12/1996
CN	AC	6,275,820	08/14/2001	Navin-Chandra et al.			07/16/1998
CN	AD	6,370,527	04/09/2002	Singhal			12/29/1998
CN	AE	6,574,632	06/03/2003	Fox et al.			11/18/1998
CN	AF	6,601,061	07/29/2003	Holt et al.			06/05/2000
CN	AG	6,615,248	09/02/2003	Smith			08/16/1999
CN	АН	6,701,310	03/02/2004	Sugiura et al.			05/11/2000
	ΑI	2003/0177111	09/18/2003	Egendorf et al.			01/21/2003
CN-	AJ						
	AK						
	AL	<del>  ***</del>					

······································	Foreign Patent Documents or Published Foreign Patent Applications							
Examiner	Desig.	Document	Publication	Country or			Translation	
Initial	מו [	Number	Date	Patent Office	Class	Subclass	Yes	No
	AM							
	AN							
	AO							
	AP							
	AQ			*				<u>-                                    </u>

. (	Other Documents (include Author, Title, Date, and Place of Publication)						
Examiner Initial	Desig. ID	Document					
	AR	·					
	AS						
	AT						
	AU						

Examiner Signature	/Cindy Nguyen/	Date Considered	. 07/26/2006
EXAMINER: Initials citation next communication to appli		f not in conformance and no	ot considered. Include copy of this form with

Substitute Disclosure Form (PTO-1449)

0,		٠-	, vo.
JAN	1	9	2005

	_	_	
Sheet	1	of	1

753
•

70.S. Department of Commerce Patent and Trademark Office

Attorney's Docket No. 06975-146001

Application No. 09/749,798

**Information Disclosure Statement** by Applicant (Use several sheets if necessary)

Applicant Thomas E. Donaldson et al.

Filing Date

Group Art Unit

(37 CFR §1.98(b))

December 28, 2000

2171

**U.S. Patent Documents** Publication Filing Date Document Examiner Desig. Number Patentee Class Subclass If Appropriate Initial ID Date CN AA 6,785,671 08/31/2004 Bailey et al. CN AB 6,704,729 03/09/2004 Klein et al. AC ΑĎ AE AF AG ΑH ·AI ΑJ

	Foreign Patent Documents or Published Foreign Patent Applications							
Examiner	Desig.	Document	Publication	Country or			Translation	
Initial	ID d	Number	Date	Patent Office	Class	Subclass	Yes	No
	AK							
	AL							
	AM	,						
	AN							
	AO							

	Other Documents (include Author, Title, Date, and Place of Publication)							
Examiner Initial	Desig. ID	Document						
	AP							
	AQ							
_	AR							
	AS							

Examiner Signature /Cindy Nguyen/	Date Considered 07/26/2006
EXAMINER: Initials citation considered. Draw line through citation if no next communication to applicant.	ot in conformance and not considered. Include copy of this form with



Substitute Form PTO-1449 (Modified)

U.S Department of Commerce Patent and Trademark Office

Attorney's Docket No. 06975-146001

Application No. 09/749,798

Information Disclosure Statement by Applicant (Use several sheets if necessary) Applicant
Thomas E. Donaldson et al.

Filing Date
December 28, 2000

Group Art Unit 2171

(37 CFR §1.98(b))

**U.S. Patent Documents** Publication Filing Date Document Examiner Desig. Initial Number Date Patentee Class **Subclass** If Appropriate ID CN 09/09/2004 AA 2004/0177015 Galai et al. 05/06/2003 6,560,600 Broder CN AB AC 6,456,998 09/24/2002 Bui et al. CN AD ΑE AF AG AH ΑI ΑJ ΑK

Foreign Patent Documents or Published Foreign Patent Applications								
Examiner	Desig.	Document	Publication	Country or			Translation	
Initial	ID	Number	Date	Patent Office	Class	Subclass	Yes	No
	AL							
-	AM							
	AN		·					
	AO							
	AP							

	Other Documents (include Author, Title, Date, and Place of Publication)								
Examiner	Desig.	· ·							
Initial	1D	Document							
	AQ	·							
	AR	•							
	AS								
	AT								

Examiner Signature /Cindy Nguyen/	Date Considered 07/26/2006
EXAMINER: Initials citation considered. Draw line through citation if no next communication to applicant.	t in conformance and not considered. Include copy of this form with

ubstitute Form PTO-1449  Wodified)  U.S. Department of Commerce Patent and Trademark Office								
Information Disclosure Statement by Applicant				Applicant Thomas E. Donaldson et al.				
(Use several sheets if necessary) (37 CFR §1.98(b))				Filing Date Group Art Unit December 28, 2000 2171				
<del></del>		U.S. Pater	nt Documents			PADEMAR PADEMAR		
Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate		
AA	6,199,102	03/06/2001	Cobb					
AB	6,631,244	11/20/2001	Beall et al		,			
AC								
ΑĎ								
AE								
AF								
AG								
AH	-							
ΑI			·					
AJ								
AK								
	Desig. ID AA AB AC AD AE AF AG AH AI	Paten Formation Disclosure St by Applicant (Use several sheets if necess b))  Desig. Document Number  AA 6,199,102  AB 6,631,244  AC  AD  AE  AF  AG  AH  AI  AJ	Patent and Trademark Office   Patent	Patent and Trademark Office formation Disclosure Statement by Applicant (Use several sheets if necessary) b))  U.S. Patent Documents Desig. Document Number Date Patentee  AA 6,199,102 03/06/2001 Cobb  AB 6,631,244 11/20/2001 Beall et al  AC  AD  AE  AF  AG  AH  AI  AJ	Patent and Trademark Office   O6975-146001   Applicant   Thomas E. Donaldson et   Filing Date   December 28, 2000	Patent and Trademark Office		

	Foreign Patent Documents or Published Foreign Patent Applications							
Examiner	Desig.	Document	Publication	Country or			Trans	lation
Initial	ID	Number	Date	Patent Office	Class	Subclass	Yes	No
	AL					•;		
	AM							
	AN							-
	AO							<u>-</u>
	AP							

	Other Documents (include Author, Title, Date, and Place of Publication)					
Examiner	Desig.					
Initial	<sup>"</sup> םו	Document				
CN	AQ	Ian Leicht, "Re: My spamblock; Was: Thwarting UCE address culling program" [online]. January 26, 1997. Retrieved from Internet newsgroup: <news.admin.net-abuse.email> [retrieved on 2003-07-15], pages 1-2.</news.admin.net-abuse.email>				
	AR					
	AS					
	AT					

Subst	itute Form PTO-1449	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 06975-146001	Application No. 09/749,798	
/U`	Infernation Disclosure Statement  by Applicant  se several sheets if necessary)		Applicant Thomas E. Donaldson et al.		
NI .	FR §1.98(9)	ets if necessary)	Filing Date December 28, 2000	Group Art Unit 2171	

			U.S. Pate	nt Documents			·
Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
CN	AA	6,643,641	11/04/2003	Snyder			
CN	AB	6,704,728	03/09/2004	Chang et al.			
CN	AC	6,721,741	04/13/2004	Eyal et al.			
CN	AD	6,718,365	04/06/2004	Dutta .		HEU	EIVED
CN	AE	5,991,756	11/23/1999	Wu		JUN 2	1 2004
	AF					l Tachaolagu	Center 2100
	AG					recinology	Octilor 2100
	AH						
	AI						
	AJ						
	AK						

	Foreign Patent Documents or Published Foreign Patent Applications							
Examiner	Desig.	Document	Publication	Country or			Trans	lation
Initial	ID	Number	Date	Patent Office	Class	Subclass	Yes	No
	AL							
	AM							
******	AN			•				-
	AO							
•	AP							

	Other Documents (include Author, Title, Date, and Place of Publication)						
Examiner Initial	Desig. ID	Document					
<b>,</b>	AQ						
	AR						
	AS						
	AT						

Examiner Signature	/Cindy Nguyen/	Date Considered 07/26/2006						
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.								
	BEST AV	AILABLE COPY Substitute Disclosure Form (PTO-1449)						

Applicant: Thomas E. Donaldson et al.

Serial No.: 09/749,798
Filed: December 28, 2000

: 2 of 2 Page

Attorney's Docket No.: 06975-146001 / Search 02

Respectfully submitted,

Fish & Richardson P.C. 1425 K Street, N.W. 11th Floor Washington, DC 20005-3500 Telephone: (202) 783-5070 Facsimile: (202) 783-2331

40182904.doc .

Reg. No. 44,827

Attorney's Docket No.: 06975-146001 / Search 02

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Thomas E. Donaldson et al.

Art Unit: 2171

Examiner: Cindy Nguyen

66/al No.: 09/749,798 December 28, 2000

: FILTERING SEARCH RESULTS

01-29-

JAN 2 6 2004

Commissioner for Patents

P.O. Box 1450

/21/2004

Alexandria, VA 22313-1450

**Technology Center 2100** 

#### INFORMATION DISCLOSURE STATEMENT

Applicants submit the references listed on the attached form PTO-1449.

The following are co-pending related subject matter applications, copies of which are enclosed:

U.S. Patent Application Serial No. 09/749,639

CN Surendra Goel et al.

Entitled: Category Searching

U.S. Patent Application Serial No. 09/749,627 CN

Surendra Goel et al.

Entitled: Displaying Search Results

CN U.S. Patent Application Serial No. 09/749,629

Surendra Goel

Entitled: Searching Content on Web Pages

/Cindy Nguyen/

U.S. Patent Application Serial No. 10/330,561 CN

Larry L. Lu

Entitled: Supervising User Interaction With Online Services

07/26/2006

This statement is being filed after a first Office action on the merits, but before receipt of a final Office action or a Notice of Allowance. A check for \$180 in payment of the late submission fee of §1.17(p) is enclosed. Please apply any other charges or credits to Deposit Account No. 06-1050.

01/22/2004 TLUU11

00000007 09749798

02 FC:1806

180.00 GP



#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Thomas E. Donaldson et al.

Art Unit

: 2171

Serial No.:

09/749,798

Examiner: Cindy Nguyen

Filed Title

December 28, 2000

: FILTERING SEARCH RESULTS

RECEIVED

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

JAN 2 0 2004

Technology Center 2100

## INFORMATION DISCLOSURE STATEMENT

Applicants submit the reference listed on the attached form PTO-1449. A copy of a communication from a foreign patent office in a counterpart application is also enclosed.

The U.S. Patent 6,026,429 reference was cited in a previous Information Disclosure CN Statement and, therefore, is not provided in this application.

/ Lindy Nguyam/s statement is being filed after a first Office action on the merits, but before receipt of a final Office action or a Notice of Allowance. I, the undersigned, hereby certify that each item 07/26/2006 of information contained in this statement was cited in a communication from a foreign patent office in a counterpart foreign application, the communication being dated October 16, 2003, which is not more than three months prior to the filing of this statement. Please apply any charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,

Fish & Richardson P.C. 1425 K Street, N.W. 11th Floor

Washington, DC 20005-3500 Telephone: (202) 783-5070 Facsimile: (202) 783-2331



Attorney's Docket No.: 06975-146001 / Search 02

NITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Thomas E. Donaldson et al.

Art Unit : 2171

Serial No.: 09/749,798

Examiner: Cindy Nguyen

Filed

: December 28, 2000

Title

: FILTERING SEARCH RESULTS

JUN 2 5 2003

RECEIVED

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

**Technology Center 2100** 

#### INFORMATION DISCLOSURE STATEMENT

CN

Copies of the references listed on the attached form PTO-1449 are enclosed.

/Cindy Ngu

07/26/2006

This statement is being filed after a first Office action on the merits, but before receipt of a final Office action or a Notice of Allowance. A check for \$290 is enclosed herewith of which \$180 is in payment of the late submission fee of §1.17(p). Please apply any other charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,

Reg. No. 44,827

Fish & Richardson P.C. 1425 K Street, N.W. 11th Floor

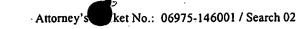
Washington, DC 20005-3500 Telephone: (202) 783-5070 Facsimile: (202) 783-2331

40161269.doc

06/25/2003 DTESSEN1 00000036 09749798

02 FC:1806

180.00 OP



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

pplicant :

/2003

Thomas E. Donaldson et al.

Art Unit : 2171

Serial No.:

09/749,798

Examiner: Cindy Nguyen

Filed

December 28, 2000

Title

FILTERING SEARCH RESULTS

Commissioner for Patents Washington, D.C. 20231

#### INFORMATION DISCLOSURE STATEMENT

Applicants submit the references listed on the attached form PTO-1449, copies of which are enclosed.

The following are commonly-owned related subject matter applications:

U.S. Patent Application Serial No. 09/749,639

CN

Surendra Goel et al..

Entitled: Category Searching

RECEIVED

CN

U.S. Patent Application Serial No. 09/749,627

JAN 2'8 2003

Surendra Goel et al.

Entitled: Displaying Search Results

Technology Center 2100

CN

U.S. Patent Application Serial No. 09/749,629

Surendra Goel

Entitled: Searching Content on Web Pages

/Cindy Nguyen/

07/26/2006

This statement is being filed after a first Office action on the merits, but before receipt of a final Office action or a Notice of Allowance. A check for \$180 in payment of the late submission fee of §1.17(p) is enclosed. Please apply any other charges or credits to Deposit Account No. 06-1050.

01/24/2003 CNGUYEN 00000058 09749798

01 FC:1806

180.00 OP

Respectfully submitted,

Reg. No. 44,827

Fish & Richardson P.C. 1425 K Street, N.W., 11th Floor Washington, DC 20005-3500

Telephone: (202) 783-5070 Facsimile: (202) 783-2331

IDS - 06/22/2001



Attorney's Docket No.: 06975-146001 / Search 02

## ATES PATENT AND TRADEMARK OFFICE

Applicant: Thomas E. Donaldson et al.

Art Unit: 2171

Serial No.: 09/749,798

Examiner: Unknown

Filed

: December 28, 2000

Title

: FILTERING SEARCH RESULTS

RECEIVED

JUN 2 5 2001

Commissioner for Patents Washington, D.C. 20231

**Technology Center 2100** 

## INFORMATION DISCLOSURE STATEMENT

Applicant submits the references listed on the attached form PTO-1449, copies of which /Cindy Nguyen/

are enclosed.

CN

07/26/2006

This statement is being filed before the receipt of a first Office action on the merits.

Please apply any charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,

Joseph F. Key Reg. No. 44,827

Fish & Richardson P.C. 601 Thirteenth Street, NW Washington, DC 20005 Telephone: (202) 783-5070

Facsimile: (202) 783-2331



Attorney's Docket No.: 06975-146001 / Search 2

#4

# N THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Thomas E. Donaldson et al.

Art Unit : 2171

Serial No.: 09/749,798

Examiner: Unknown

Filed

: December 28, 2000

Title :

: FILTERING SEARCH RESULTS

Commissioner for Patents Washington, D.C. 20231

### INFORMATION DISCLOSURE STATEMENT

Applicant submits the references listed on the attached form PTO-1449, copies of which are enclosed.

CN

/Cindy Nguyen/

This statement is being filed within three months of the filing date of the application or before the receipt of a first Office action on the merits. Please apply any charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,

Date: 3/28/2001

W. Karl Renner Reg. No. 41,265

Fish & Richardson P.C. 601 Thirteenth Street, NW Washington, DC 20005 Telephone: (202) 783-5070

Facsimile: (202) 783-2331

40050993.doc

07/26/2006